

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

SP00-380

Total Pages

2

First Named Inventor or Application Identifier | Early, Kintu

Title : TREATING SOOT PREFORMS WITH A REDUCING AGENT

Express Mail Label No.

EL689101313US

A

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on December 12, 2001

(Date)

Signature

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

J1046 U.S. PTO
10/021366
12/12/01

"EXPRESS MAIL" Mailing Label No EL689101313US

1. ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages 42]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description

3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 13]

4. ☐ Oath or Declaration [Total Pages 4]

- a. ☒ Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s) 15. ☐ Other:
(if foreign priority is claimed)

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional. ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

22928

or ☐ Correspondence address below

NAME	Timothy R. Krogh				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	2743	FAX	(607) 974-3848
Name (Print/Type)	Timothy R. Krogh			Registration No. (Attorney/Agent)	40,688
Signature				Date	December 12, 2001

FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Early, Kintu
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP00-380

TOTAL AMOUNT OF PAYMENT (\$1812.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

03-3325

Deposit
Account
Name

Corning Incorporated

- ☒ Charge Any Additional Fees Required
Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity

Fee Code	Fee (\$)	Fee Description	Fee Paid
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101	740	Utility filing fee	740.00
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106	330	Design filing fee	
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107	510	Plant filing fee	
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108	740	Reissue filing fee	
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114	160	Provisional filing fee	
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SUBTOTAL (1) (\$740.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
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Independent Claims	5 - 3** = 2	84 =	168.00
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Multiple Dependent	0	=	0.00
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**or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Code	Fee (\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$1772.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Fee Code	Fee (\$)	Fee Description	Fee Paid
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105	130	Surcharge - late filing fee or oath	
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127	50	Surcharge - late provisional filing fee or cover sheet	
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139	130	Non-English specification	
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147	2,520	For filing a request for reexamination	
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112	920*	Requesting publication of SIR prior to Examiner action	
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113	1,840	Requesting publication of SIR after Examiner action	
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115	110	Extension for reply within first month	
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116	390	Extension for reply within second month	
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117	890	Extension for reply within third month	
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118	1,390	Extension for reply within fourth month	
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128	1,890	Extension for reply within fifth month	
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119	310	Notice of Appeal	
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120	310	Filing a brief in support of an appeal	
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121	270	Request for oral hearing	
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138	1,510	Petition to institute a public use proceeding	
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140	110	Petition to revive - unavoidable	
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141	1,240	Petition to revive - unintentional	
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142	1,240	Utility issue fee (or reissue)	
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143	440	Design issue fee	
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144	600	Plant issue fee	
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122	130	Petitions to the Commissioner	
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123	50	Petitions related to provisional applications	
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126	240	Submission of Information Disclosure Stmt	
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581	40	Recording each patent assignment per property (times number of properties) 1 x 40.00	
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146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
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149	710	For each additional invention to be examined (37 C.F.R § 1.129(b))	
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Other fee (specify) _____

Other fee (specify) _____

***Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40.00)**

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)	Timothy R. Krogh	Registration No. (Attorney/Agent)	40,688
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Signature		Date	December 12, 2001
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